

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE STATEMENT TRANSMITTAL

MAR 26 2004

In re Application of: Michael E. Leckrone et al.

METHOD AND APPARATUS FOR PROVIDING INTRA-PERICARDIAL ACCESS

Serial No.: 10/606,909

Filed: June 26, 2003

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this INFORMATION DISCLOSURE STATEMENT and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 23rd day of March, 2004.

  
 Signature  
 Sue McCoy  
 Printed Name

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

Information Disclosure Statement  
 PTO FORM 1449  
 Copies of the 1<sup>st</sup> pages of the cited references  
 Return Postcard

**FEE CALCULATION**

\$ 00.00 Pursuant to 37 CFR §1.97(b) (before mailing of first Office Action)  
 \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification (cited in foreign application not more than 3 months earlier)  
 \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification  
 \$180.00 Pursuant to 37 CFR §1.97(c) without Certification  
 \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

Applicant hereby petitions for a      months' extension of time. If an additional extension of time is required, please consider this petition therefor.

Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.

Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked with regard to this filing. A duplicate of this transmittal is enclosed.

Date

March 23, 2004

Elisabeth L. Belden  
 Reg. No. 50,751  
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 Customer No. 27581



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael E. Leckrone et al. ) Art Unit: 3763  
Serial No.: 10/606,909 )  
Filed: June 26, 2003 ) Examiner:  
 ) Docket: P-8030.03

For: METHOD AND APPARATUS FOR PROVIDING INTRA-PERICARDIAL  
ACCESS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 et. seq., the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Date: March 23, 2004

By: Elisabeth L. Belden  
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FORM PTO-1449 (Rev. 2-32)		ATTY. DOCKET NO.: P-8030.03	SERIAL NO: 10/606,909
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	
		APPLICANT: Michael E. Leckrone et al.	
		FILING DATE: June 26, 2003	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE ISSUED	INVENTOR NAME	U.S. CLASS	U.S. SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	6,375,668 B1	04/23/2002	Gifford, et al.			
	AB	6,203,526	03/20/2001	McBeth et al.	604	96.01	
	AC	6,066,149	05/23/2000	Samson, et al.			
	AD	5,928,260	07/27/1999	Chin, et al.			
	AE	5,827,216	10/27/1998	Igo, et al.			
	AF	5,800,451	09/01/1998	Buess et al.	606	185	
	AG	5,797,870	08/25/1998	March, et al.			
	AH	5,759,202	06/02/1998	Schroepel	607	126	
	AI	5,749,883	05/12/1998	Halpern			
	AJ	5,713,867	02/03/1998	Morris			
	AK	5,681,280	10/28/1997	Rusk, et al.			
	AL	5,678,572	10/21/1997	Shaw, et al.			
	AM	5,489,270	02/06/1996	Van Erp			
	AN	5,454,790	10/03/1995	Dubrul	604	104	
	AO	5,415,637	05/16/1995	Khosravi			
	AP	5,345,927	09/13/1994	Bonutti			
	AQ	5,344,439	09/06/1994	Otten	607	126	
	AR	5,336,252	08/09/1994	Cohen			
	AS	5,330,496	07/19/1994	Alfernness			
	AT	5,269,326	12/14/1993	Verrier			
	AU	5,146,925	09/15/1992	Snow	128	658	
	AV	4,991,578	02/12/1991	Cohen			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Rev. 2-32)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: P-8030.03	SERIAL NO: 10/606,909
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: Michael E. Leckrone et al.		
		FILING DATE: June 26, 2003	GROUP: 3763	

	AW	4,946,457	08/07/1990	Elliott	606	1	
	AX	3,721,229	03/20/1973	Panzer	128	2A	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE PUBLICATION	COUNTRY	INT. CLASS	INT. SUB-CLASS	TRANSLATION	
							YES	NO
	AY	WO 97/42879	20.11.97	EP	A61B	17/00		

## OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
EXAMINER:	Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.